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PTO/SB/21 (09-04)

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/806,495	
	Filing Date	March 22, 2004	
	First Named Inventor	Han Ting Chang	
	Art Unit	1713	
	Examiner Name	Robert D. Harlan	
Total Number of Pages in This Submission		Attorney Docket Number	29329-749.201

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	PTO/SB/08 Form
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s)	Return Post Card
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<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

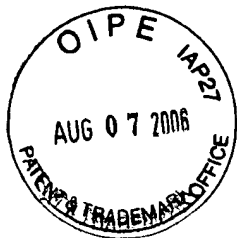
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Date	August 7, 2006	Reg. No.	50,512

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Attorney Docket No. 29329-749.201
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Han Ting CHANG, et al.

Serial Number: 10/806,495

Filing Date: March 22, 2004

Title: CROSSLINKED AMINE POLYMERS

Group Art Unit: 1713

Examiner: Robert D. Harlan

CONFIRMATION NO: 2769

FILED BY EXPRESS MAIL ON: August 7, 2006
EXPRESS MAIL LABEL NO.: EV 711415060 US

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Alexandria VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97

Sir:

Applicants hereby submit an Information Disclosure Statement along with attached form PTO/SB/08. A copy of each listed publication is submitted, if required, pursuant to 37 CFR §§1.97-1.98, as indicated below.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. Applicants further request that the Examiner initial and return the attached form PTO/SB/08 in accordance with MPEP §609.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, prior art or material to patentability as defined in §1.56.

A. ☒ 37 CFR §1.97(b). This Information Disclosure Statement should be considered by the Office because:

☐ (1) It is being filed within 3 months of the filing date of a national application and is other than a continued prosecution application under §1.53(d);

-- OR --

☐ (2) It is being filed within 3 months of entry of the national stage as set forth in §1.491 in an international application;

-- OR --

☒ (3) It is being filed before the mailing of a first Office action on the merits;

-- OR --

☐ (4) It is being filed before the mailing of a first Office action after the filing of a request for continued examination under §1.114.

B. ☐ 37 CFR §1.97(c). Although this Information Disclosure Statement is being filed after the period specified in 37 CFR §1.97(b), above, it is filed before the mailing date of the earlier of (1) a final office action under §1.113, (2) a notice of allowance under §1.311, or (3) an action that otherwise closes prosecution on the merits, this Information Disclosure Statement should be considered because it is accompanied by one of:

☐ a statement as specified in §1.97(e) provided concurrently herewith;

-- OR --

☐ a fee of \$180.00 as set forth in §1.17(p) authorized below, enclosed, or included with the payment of other papers filed together with this statement.

C. ☐ 37 CFR §1.97(d). Although this Information Disclosure Statement is being filed after the mailing date of the earlier of (1) a final office action under §1.113 or (2) a notice of allowance under §1.311, it is being filed before payment of the issue fee and should be considered because it is accompanied by:

i. a statement as specified in §1.97(e);

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ii. a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this Statement.

D. ☐ 37 CFR §1.97(e). Statement.

☐ A statement is provided herewith to satisfy the requirement under 37 CFR §§1.97(c);

-- AND/OR --

☐ A statement is provided herewith to satisfy the requirement under 37 CFR §§1.97(d);

-- AND/OR --

☐ A copy of a dated communication from a foreign patent office clearly showing that the information disclosure statement is being submitted within 3 months of the filing date on the communication is provided in lieu of a statement under 37 C.F.R. § 1.97(e)(1) as provided for under MPEP 609.04(b) V.

E. ☐ Statement Under 37 C.F.R. §1.704(d). Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart application that was received by an individual designated in § 1.56(c) not more than thirty (30) days prior to the filing of this information disclosure statement. This statement is made pursuant to the

requirements of 37 C.F.R. §1.704(d) to avoid reduction of the period of adjustment of the patent term for Applicant(s) delay.

F. ☒ 37 CFR §1.98(a)(2). The content of the Information Disclosure Statement is as follows:

☐ Copies of each of the references listed on the attached Form PTO/SB/08 are enclosed herewith.

-- OR --

☒ Copies of U.S. Patent Documents (issued patents and patent publications) listed on the attached Form PTO/SB/08 are NOT enclosed.

-- AND/OR --

☒ Copies of Foreign Patent Documents and/or Non Patent Literature Documents listed on the attached Form PTO/SB/08 are enclosed in accordance with 37 CFR §1.98 (a)(2).

-- AND/OR --

☐ Copies of pending unpublished U.S. patent applications are enclosed in accordance with 37 CFR §1.98(a)(2)(iii).

G. ☒ 37 CFR §1.98(a)(3). The Information Disclosure Statement includes non-English patents and/or references.

☐ Pursuant to 37 CFR §1.98(a)(3)(i), a concise explanation of the relevance of each patent, publication or other information provided that is not in English is provided herewith.

☐ Pursuant to MPEP 609(B), an English language copy of a foreign search report is submitted herewith to satisfy the requirement for a concise explanation where non-English language information is cited in the search report.

-- OR --

☐ A concise explanation of the relevance of each patent, publication or other information provided that is not in English is as follows: _____

☒ Pursuant to 37 CFR §1.98(a)(3)(ii), a copy of a translation, or a portion thereof, of the non-English language reference(s) is provided herewith.

H. ☐ 37 CFR §1.98(d). Copies of patents, publications and pending U.S. patent applications, or other information specified in 37 C.F.R. § 1.98(a) are not provided herewith because:

☐ Pursuant to 37 CFR §1.98(d)(1) the information was previously submitted in an Information Disclosure Statement for another application under which this application claims priority for an earlier effective filing date under 35 U.S.C. 120.

Application in which the information was submitted: _____

Information Disclosure Statement(s) filed on: _____

AND

☐ The information disclosure statement submitted in the earlier application complied with paragraphs (a) through (c) of 37 CFR §1.98.

- I. ☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Docket No. 29329-749.201).

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Dated: August 4, 2006

650 Page Mill Road
Palo Alto, CA 94304-1050
(650) 493-9300
Customer No. 56631

By: Anie Roche
Anie K. Roche, Reg. No. 50,512



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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/806,495
				Filing Date	March 22, 2004
				First Named Inventor	Han Ting Chang
				Art Unit	1713
				Examiner Name	Robert D. Harlan
Sheet	1	Of	5	Attorney Docket Number	29329-749.201

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	13.	US 3,308,020	03-07-1967	Wolf et al.	
	14.	US 3,499,960	03-10-1970	Macek et al.	
	15.	US 3,974,272	08-10-1976	Polli et al.	
	16.	US 4,027,009	05-31-1977	Grier et al.	
	17.	US 4,410,688	10-18-1983	Denkewalter et al.	
	18.	US 4,507,466	03-26-1985	Tomalia et al.	
	19.	US 4,558,120	12-10-1985	Tomalia et al.	
	20.	US 4,568,737	02-04-1986	Tomalia et al.	
	21.	US 4,587,329	05-06-1986	Tomalia et al.	
	22.	US 4,599,400	07-08-1986	Tomalia et al.	
	23.	US 4,631,337	12-23-1986	Tomalia et al.	
	24.	US 4,690,985	09-01-1987	Tomalia et al.	
	25.	US 4,737,550	04-12-1988	Tomalia	
	26.	US 4,747,881	05-31-1988	Shaw et al.	
	27.	US 4,902,501	02-20-1990	Bandi et al.	
	28.	US 5,091,175	02-25-1992	Imondi et al.	
	29.	US 5,254,669	10-19-1993	Blackborow	
	30.	US 6,361,768 B1	03-26-2002	Galleguillos et al.	
	31.	US 6,646,083 B2	11-11-2003	Hirano et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ – Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	32.	EP 0575596 B1	12-29-1993	DSM N.V.		
	33.	EP 0672703 A1	09-20-1995	DSM N.V.		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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	34.	EP 0707611 B1	04-24-1996	DSM N.V.		
	35.	EP 0793960 B1	08-01-2001	Mitsubishi Chemical Corporation		
	36.	EP 1209146 B1 (in German with English Abstract)	05-29-2002	BASF AG		
	37.	WO 82/00257	02-04-1982	Cerami		
	38.	WO 95/02008 A1	01-19-1995	DSM N.V. et al.		
	39.	WO 97/23514 A1	07-03-1997	DSM N.V. et al.		
	40.	WO 97/49736 A2	12-31-1997	G.D. Searle and Co.		
	41.	WO 99/14297 A1	03-25-1999	The Procter & Gamble Company		
	42.	WO 02/077074 A1	10-03-2002	Firmenich SA		
	43.	WO 2005/065291 A2	07-21-2005	Genzyme Corporation		

Examiner Signature		Date Considered	
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				Examiner Name	Robert D. Harlan
Sheet	3	Of	5	Attorney Docket Number	29329-749.201

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	44.	Barsotti, G. et al. 1979. Anion-Exchange Resins for the Uremic Hyperphosphatemia. <i>Mineral and Electrolyte Metabolism</i> . 2(1): 206.	
	45.	Buhleier, Egon et al. 1978. "Cascade"- and "Nonskid-Chain-like" Syntheses of Molecular Cavity Topologies. <i>Synthesis</i> . 1978(02):155-158.	
	46.	Burke, Steven K. 2000. Renagel®: reducing serum phosphorus in haemodialysis patients. <i>Hospital Medicine</i> . 61(9): 622-627.	
	47.	Burt, Helen M. et al. 1985-86. In Vitro Studies Using Ion Exchange Resins as Potential Phosphate Binders for Renal Failure Patients. <i>Uremia Investigation</i> . 9(1): 35-44.	
	48.	Burt, Helen. M. et al. 1986. Ion-Exchange Resins as Potential Phosphate-Binding Agents for Renal Failure Patients: Effect of the Physicochemical Properties of Resins on Phosphate and Bile Salt Binding. <i>Journal of Pharmaceutical Sciences</i> . 76(5): 379-383.	
	49.	Cholestyramine. 1998. Cholestyramine for oral suspension. Copley Pharmaceutical, Inc. Canton, MA. (Package Insert)	
	50.	Colestid®. 2003. Colestid® micronized colestipol hydrochloride tablets. Pharmacia & Upjohn Company, Kalamazoo, MI. (Package Insert)	
	51.	Coli, L. et al. 1992. Phosphate Removal by Resin Hemoperfusion Efficacy and Biocompatibility of a New Exchange Resin. <i>Biomaterials, Artificial Cells, and Immobilization Biotechnology</i> . 20(5): 1153-1163.	
	52.	De Simone, Renato et al. 1978. New Microporous Cholestyramine Analog for Treatment of Hypercholesterolemia. <i>Journal of Pharmaceutical Sciences</i> . 67(12): 1695-1698.	
	53.	Grynpas, R. et al. 1986. Organic ion exchange resins as substitutes for aluminum hydroxide gels. <i>Life Support Systems</i> . 4(Suppl. 2): 276-8.	

Examiner Signature	Date Considered
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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶	
	54.	Hagmaier, V. et al. 1981. Investigation of the efficacy of oxalate-binding anionic exchanger Colestid in healthy subjects for use in idiopathic calcium-oxalate-urolithiasis. <i>Helv. Chir. Acta.</i> 48(3/4): 421-424.		
	55.	Hardy, P. et al. 1998. Inhibition of Gastric Secretion by Omeprazole and Efficiency of Calcium Carbonate on the Control of Hyperphosphatemia in Patients on Chronic Hemodialysis. <i>Artificial Organs.</i> 22(7): 569-573.		
	56.	Honda, Yoshiteru et al. 2000. Studies on Adsorption Characteristics of Bile Acids and Methotrexate to a New Type of Anion-Exchange Resin, Colestimide. <i>Chem. Pharm. Bull.</i> 48(7): 978-981.		
	57.	Hurst, P.E. et al. 1963. The Effect of Oral Anion Exchange Resins on FÆCAL Anions. Comparison with Calcium Salts and Aluminum Hydroxide. <i>Clin. Sci.</i> 24: 187-200.		
	58.	Konechnik, Thomas J. et al. 1989. In Vitro Adsorption of Bile Salts by Colestipol Hydrochloride. <i>Pharmaceutical Research.</i> 6(7): 619-623.		
	59.	Kurihara, Satoshi et al. 2005. Effect of MCI-196 (colestilan) as a phosphate binder on hyperphosphataemia in haemodialysis patients: a double-blind, placebo-controlled, short-term trial. <i>Nephrol Dial Transplant.</i> 20(2): 424-430.		
	60.	McGary, T.J. et al. 1984-85. Polycation as an Alternative Osmotic Agent and Phosphate Binder in Peritoneal Dialysis. <i>Uremia Investigation.</i> 8(2): 79-84.		
	61.	Nolan, James P. et al. 1975. Endotoxin Binding by Charged and Uncharged Resins. <i>Proceedings of the Society for Experimental Biology and Medicine.</i> 149: 766-770.		
	62.	Peppas, Nicholas A. et al. 1993. Dendrimers and Star Polymers for Pharmaceutical and Medical Applications. <i>Proceed. Intern. Symp. Control. Rel. Bioact. Mater.</i> 20: 143-144.		
	63.	Schneider, H. et al. 1984. Aluminum-free oral phosphate binder. <i>Dep. Nephrol. Hypertension.</i> 1(2): 76-9. (Abstract Only).		

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				Art Unit	1713
				Examiner Name	Robert D. Harlan
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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	64.	Sechet, A. et al. 1999. Inhibition de la secretion gastrique par l'omeprazole et efficacite du carbonate de calcium sur le controle de l'hyperphosphoremie des patients hemodialyses chroniques [Inhibition of gastric secretion by omeprazole and efficacy of calcium carbonate in the control of hyperphosphatemia in patients on maintenance hemodialysis]. <i>Nephrologie</i> . 20(4): 213-216. (Article in French with English Summary)	
	65.	Shataeva L.K. et al. 1982. [Effect of FAF anionite swelling on its sorption properties]. <i>Prikl Biokhim Microbiol</i> . 18(1): 65-70. (in Russian w/ English abstract)	
	66.	Swearingen, Ronald A. et al. 2002. Determination of the binding parameter constants of Renagel® capsules and tablets utilizing the Langmuir approximation at various pH by ion chromatography. <i>Journal of Pharmaceutical and Biomedical Analysis</i> . 29: 195-201.	
	67.	WelChol® Tablets. Licensed from: GelTex Pharmaceuticals, Inc. (Package Insert)	
	68.	Wrong, O.M. 1972. Aluminum Toxicity. <i>Lancet</i> . 2(7772): 334-5.	
	69.	Wrong, O.M. 1973. Anion-Exchange Resins in Treatment of Uræmic Acidosis and Hyperphosphatæmia. <i>Lancet</i> . 1(7801):493.	

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